



FOR IMMEDIATE RELEASE

UTP Committee puts out UTP SIP and UTP Administrator for Bid

New York, NY – February 20, 2014 - The Unlisted Trading Privileges (UTP) Operating Committee has authorized Request for Proposals (RFP) to evaluate service providers for both the UTP securities information processor (SIP) and the UTP Administrator. The UTP SIP is responsible for the collection, consolidation and dissemination of best bid and offer data calculation, last sale reports and quotation information generated by the exchanges that quote and trade NASDAQ-listed securities in the United States. The UTP Administrator is responsible for managing all of the administrative functions that relate to the UTP Plan, including record keeping, billing, contract administration and preparation of financial records. The contracts with the current service provider are set to expire late fourth quarter 2015.

Jordan & Jordan has been hired to prepare two RFPs, one for the UTP SIP and one for the UTP Administrator and manage the selection process for each. Jordan & Jordan, on behalf of the UTP Operating Committee, is seeking firms interested in being considered as a replacement service provider and/or as an administrator for the UTP. A firm can bid for one or both RFPs.

The RFP for the UTP SIP will be available for distribution to qualified firms by the end of April. The RFP for the UTP administrator will be available for distribution by July.

For additional information regarding either of these RFPs, go to the Jordan & Jordan website, www.jandj.com, for links to the RFP websites or contact utpsiprfp@jandj.com for the UTP SIP RFP and utpadmrfp@jandj.com for the UTP Administrator RFP.

About UTP Plan

UTP is the securities information processor for consolidated market information generated by the trading of NASDAQ-listed securities in the United States. Consolidated last sale reports and quotations are the core of the information that the UTP SIP disseminates. The UTP SIP Operator is the “exclusive processor” as defined in the Securities Exchange Act of 1934. Through Market Data Vendors, the UTP SIP disseminates this data on behalf of all US-registered securities exchanges and FINRA, including: BATS Exchange, BATS Y-Exchange, the Chicago Board Options Exchange (CBOE), Chicago Stock Exchange, EDGA Exchange, EDGX Exchange, Financial Industry Regulatory Authority, Inc. (FINRA), International Securities Exchange (ISE), NASDAQ OMX BX, Inc, NASDAQ OMX PHLX, Inc., National Stock Exchange, Inc., NYSE LLC, NYSE Amex, Inc., NYSE Arca, Inc., and NASDAQ Stock Market, LLC. A policy committee comprised of one representative from each market, adopts and implements the policies and procedures set forth in the UTP Plan, subject to Securities Exchange Commission approval.

About Jordan & Jordan

Jordan & Jordan (www.jandj.com) uses their domain and technology expertise to provide services to the financial industry through three practices: Management Consulting & Regulatory Compliance, Market Data, and Industry Solutions. Jordan & Jordan’s client roster includes investment banks, asset managers, information vendors, global stock and derivative exchanges and industry associations, i.e. Financial Information Forum (FIF), FIX Trading Community, RIXML. Jordan & Jordan has offices in New York, Chicago, and London.