

Jordan & Jordan's Compliance Technology Team Grows

New York, NY – June 2, 2020: Jordan & Jordan (J&J), a leading financial technology company that provides regulatory compliance, market data, and trading solutions across the securities industry, is expanding their Execution Compliance Service (ECS) product and compliance technology expertise with the addition of Abhishake Sabharwal to the Regulatory Compliance Technology staff. Abhishake is adept at analyzing business/regulatory requirements and identifying innovative technology solutions. Abhishake will provide subject matter expertise and product management on the technology enhancements being provided to clients in response to: Consolidated Audit Trail (CAT), Order Audit Trail Systems (OATS), Electronic Blue Sheets (EBS) and SEC Rule 605/606.

Abhishake previously was a Vice President at Credit Suisse with global responsibility across numerous applications and projects. He managed a suite of the firms' equities front office post trade non-financial regulatory reporting systems including OATS, CAT, EBS and Rule 605/606 to comply with the respective SEC, FINRA and SRO rule changes. In addition to that, he rebuilt both the Blue Sheets reporting system and re-coded OATS to simplify implementation. Prior to that, Abhishake held senior engineering/development focused roles with leading technology innovators such as FlexTrade and Lucent Technologies.

Chris Montagnino, Managing Director, Compliance Services at J&J said, "Abhishake's unique experience in technology as it relates to CAT, OATS and Rule 605/606 only enhance the offerings we can provide to our ECS and consulting clients. We are excited about the new features, functionality and vision Abhishake brings to the team."

"The timing of Abhishake's arrival couldn't be better with new regulations going into effect after the Covid-19 delay. Having the ability to understand both the business and technology implications of regulations and compliance is key to delivering software and consulting solutions to our customers" said Tom Jordan, President, Jordan & Jordan.

Contact

Kathy McGovern, Jordan & Jordan, kathy.mcgovern@jandj.com, 212-652-4463.

About Jordan & Jordan

Jordan & Jordan, a veteran owned business, is a FinTech company with domain expertise in information, processes and technologies applied to the securities industry. Keeping pace with market practice and regulatory change, J&J provides business, technology and compliance solutions to meet the challenges of accelerating regulation, evolving technology and increasing cost pressures. J&J leverages knowledge of the financial markets across three core practices: Management Consulting and Regulatory Compliance, Market Data Services, and Industry Solutions. Jordan & Jordan has offices in New York, Chicago, and London. To learn more, visit our web site at www.jandj.com.